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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

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Kumar, et al.  
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(Only for new nonprovisional applications under 37 CFR 1.53(b))

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*Gretchen Dieckmann*  
Gretchen Dieckmann

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

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1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 33]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]
4. ☒ Oath or Declaration [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/018,695 entitled **DIDEOXY DYE**

**TERMINATORS**

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TOTAL CLAIMS	- 20 =		x \$18.00	\$0.00
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Respectfully submitted,

Dated: 26 October 2000

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UNDER 37 CFR § 1.53(B)

UNDER 37 CFR § 1.53(B)

TITLE: DIDEOXY DYE TERMINATORS

APPLICANT(S): Shiv Kumar, Satyam Nampalli, Bernard F. McArdle, Carl W. Fuller

Correspondence Enclosed:

Transmittal Letter (2 pgs); Cover Sheet (1 pg);  
Specification (24 pgs); Claims (8 pgs); Abstract (1 pg);  
Drawings (2 pgs); Combined Declaration and Power of  
Attorney (3 pgs); and Return Postcard

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Gretchen Dieckmann  
Gretchen Dieckmann

DESCRIPTIONDIDEOXY DYE TERMINATORSFIELD OF THE INVENTION

This invention relates to dye terminator nucleic acid sequencing and reagents for such sequencing.

BACKGROUND OF THE INVENTION

5 The following is a discussion of the relevant art, none of which is admitted to be prior art to the appended claims.

Sequence reaction products must be labeled. This can be done using labeled primers, labeled nucleotides  
10 (usually radioactive dNTPs) or labeled ddNTP terminators. The use of labeled terminators has the advantage of leaving false-stops undetectable.

DNA sequence bands do not necessarily have uniform intensities. It is useful to express band intensity  
15 variability numerically. This can be done by reporting the ratio of maximum to minimum intensity of nearby bands (within a window of perhaps 40 bases) in a DNA sequence or, with normalization and correction for systematic "drift" in intensity by reporting the root  
20 mean square of band intensities (typically peak heights) (Fuller, C.W., *Comments* 16(3):1-8, 1989). It is advantageous to have uniformity of band intensity as sequence accuracy and read-length is improved with bands of more uniform intensity.

For accurate reading, the mobility of any given sequencing reaction product must migrate through the electrophoresis gel with a speed proportional only to its length. Products which migrate faster or slower  
5 than normal for a given length will result in sequence ambiguities or errors known as "compressions".

Anomalous migration speed can be caused by secondary structure of the DNA and is apparently the cause of most "compression" artifacts seen in  
10 radioactive-label (and other) sequencing experiments at GC-rich regions. These can often be resolved by the use of analogs of dGTP such as 7-deaza-dGTP or dITP. Another compression-like artifact is observed when some dye-labeled ddNTPs are used for sequencing. Several  
15 examples of this can be seen in Lee, L.G., Connell, C.R., Woo, S.L., Cheng, R.D., McArdle, B.F., Fuller, C.W., Halloran, N.C., and Wilson. R.K., *Nucleic Acids Res.*, 20:2471-2483, 1992 (see figures 4g, 4h and 6h using ddCTP labeled with tetramethylbodipy and TMR or  
20 ddGTP labeled with bifluor). These compression-like artifacts are produced, even in sequences which are compression-free when sequenced radioactively or with dye-labeled primers. These artifacts can sometimes be eliminated by substituting dITP for dGTP or alpha-thio  
25 dNTPs for normal dNTPs (Lee, L.G. et al., *Nucleic Acids Res.*, 20: 2471-2483, 1992; U.S. Patent No. 5,187,085). Similar artifacts seen with the fluorescein dye-labeled ddNTPs sold by Applied Biosystems for dye-terminator sequencing with T7 DNA polymerase are resolved by  
30 substituting alpha-thio dNTPs for normal dNTPs (Lee,

L.G. et al., *Nucleic Acids Res.*, 20: 2471-2483, 1992;  
U.S. Patent No. 5,187,085).

Prober, J.M., Trainor, G.L., Dam, R.J., Hobbs,  
F.W., Robertson, C.W., Zagursky, R.J., Cocuzza, A.J.,  
5 Jensen, M.A. and Baumeister, K., *Science* 238:336-41  
(1987) performed sequencing using terminators labeled  
with substituted succinyl-fluoresceins with linkers of  
10 atoms in length, together with dATP, dCTP, dTTP, 7-  
deaza-dGTP and AMV reverse transcriptase, and a  
10 fluorescence-detecting instrument. From Fig. 6 of this  
paper is clear that overall band intensities varied by  
more than 10-fold, far more than the best available  
current methods with dye primers or radioactive labels.

Dideoxy NTP terminators that have the same basic  
15 structure as the Prober et al. (1987) terminators, but  
have four rhodamine dyes used in place of the succinyl  
fluoresceins and linkers of 5 atoms in length, have been  
used for sequencing with Taq polymerase. In order to  
use these terminators, dITP is used in place of dGTP or  
20 7-deaza-dGTP to eliminate severe "compression"  
artifacts. This method has been practiced using cloned  
Taq DNA polymerase (Bergot, WO 9105060; Parker, L.T.,  
Deng, Q., Zakeri, H., Carlson, C. Nickerson, D.A., Kwok,  
P.Y., *Biotechniques* 19(1):116-121, 1995) and with a  
25 mutant of Taq polymerase (D49G, AmpliTaq CS) lacking 5'-  
3' exonuclease activity. However, band intensities vary  
by as much as 20-fold, limiting the accuracy and read-  
length possible with the method (Parker, L.T., Zakeri,  
H., Deng, Q., Spurgeon, S., Kwok, P.Y., Nickerson, D.A.,  
30 *Biotechniques* 21(4):694-699, 1996).

Lee, L.G., Connell, C.R., Woo, S.L., Cheng, R.D.,  
McArdle, B.F., Fuller, C.W., Hallorand, N.D. and Wilson,  
R.K., *Nucleic Acids Res.*, 20:2471,1992) describe  
sequencing with a set of ddNTP terminators and T7 DNA  
5 polymerase. All have fluorescein-type dyes attached to  
the ddNTPs in essentially the same manner as the  
rhodamine terminators used for Taq sequencing. These  
are used with modified T7 DNA polymerase (Sequenase™  
version 2.0) and alpha-thio dNTPs. The thio dNTPs are  
10 used to resolve the "compression" artifacts like dITP is  
used for the Taq dye-terminator methods. The results  
with this system are such that bands vary in intensity  
about 10-fold.

Wayne Barnes has published a protocol for dye-  
15 terminator sequencing with FY modified polymerases and  
Mn<sup>2+</sup> (Sciencetech Corp. St. Louis, MO). Bands are more  
uniform with this method varying about 4.5-fold at most.

Fluorescein-12 ddNTPs that have a linker length of  
12 atoms and Biotin-11 ddNTPs that have a linker length  
20 of 11 atoms are available (Dupont NEN, Wilmington, DE).  
These labeled ddNTPs are described as useful in  
sequencing reactions.

ABI PRISM disclose dichlororhodamine dyes linked to  
terminators by propargyl/ethylene oxide/amino ("EO")  
25 linkers eight atoms in length for sequencing (Rosenblum,  
B.B., Lee, L.G., Spurgeon, S.L., Khan, S.H., Menchen,  
S.M., Heiner, C.R., and Chen, S.M., *Nucleic Acids Res.*  
25(22):4500-4504, 1997).

Cyanine dyes have been utilized in dye terminators for sequencing (Lee et al., *Nucleic Acids Res.*, 20(10):2471, 1992).

#### SUMMARY OF THE INVENTION

5       The present invention provides novel dideoxy dye-labeled terminators which are useful in a number of biological processes, including providing uniform band intensities and the resolution of dye-induced compression artifacts in DNA sequencing. The dideoxy  
10 dye-labeled terminators of the present invention are particularly well suited for use with DNA polymerases that are thermostable or which contain an altered dNMP binding site (Tabor et al., U.S. Patent No. 5,614,365). Use of the terminators of the present invention for  
15 sequencing does not require the use of nucleotide analogs such as dITP or alpha-thio nucleotides to eliminate dye-induced compression artifacts. Applicant has surprisingly found that there is a strong correlation between the length of the link between the  
20 dye molecule and the nucleotide and band uniformity, but little correlation between the type of dye (or other parameters) and band uniformity. Dye terminators with linkers of 10 or more atoms (extended linkers) up to 25 atoms (10, 11, 12.....25) when used in sequencing  
25 reactions produce bands in sequencing gels of significantly improved uniformity compared with dye terminators with linkers less than 10 atoms.

The dye terminators of the present invention with extended linkers typically are provided in groups of

four (ATGC) with or without a thermostable DNA polymerase and are especially useful in a method of sequence analysis.

In a first aspect, the invention features a kit for  
5 DNA sequencing having a first, second, third and fourth dye terminator molecule, each of the dye terminator molecules has a dye molecule, a linker of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or tri-phosphate and a thermostable DNA  
10 polymerase.

By "dye molecule" is meant any molecule that has a detectable emission spectrum, including but not limited to fluorescein, rhodamine, texas red, eosin, lissamine, coumarin, cyanine, and derivatives of these molecules.  
15 Dyes also include energy transfer dyes each comprising a donor and an acceptor dye.

By "linker" is meant a chain of at least 10 atoms comprising carbon, nitrogen, and oxygen which links the dye molecule with the dideoxynucleotide. The chain may  
20 also contain substituted carbon or sulfur. Linkage typically occurs at the aromatic base moiety of the nucleotide. The first two atoms of the linker attached to the base are typically joined in a triple bond.

By "substituted carbon " is meant that one or more  
25 hydrogens are replaced with a substitute group such as, but not limited to, hydroxyl, cyano, alkoxy, oxygen, sulfur, nitroxy, halogen,  $-N(CH_3)_2$ , amino, and  $-SH$ .

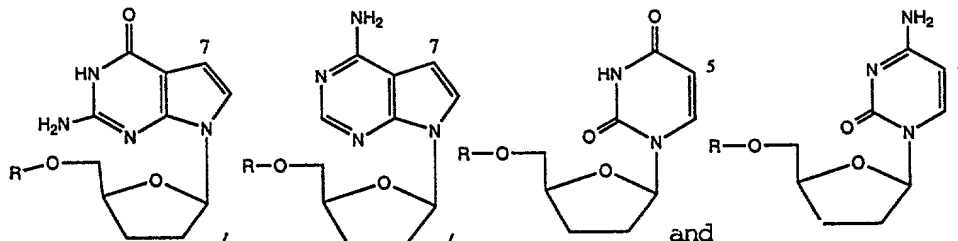
By "thermostable DNA polymerase" is meant a DNA polymerase has a half-life of greater than 5 minutes at  
30 90°C. Such polymerases include, but are not limited to,



CH<sub>3</sub>-C-CH<sub>3</sub>; m is an integer selected from the group consisting of 1, 2, 3, and 4; R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, and R<sub>7</sub> are independently selected from the group consisting of H, OH, CO<sub>2</sub>H, sulfonic acid or sulfonate groups, esters, amides, ethers, alkyl or aryl groups, and B and one R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub> or R<sub>7</sub> is B.

B is a linker of at least 10 atoms in length wherein the atoms are selected from the group consisting of carbon, nitrogen, oxygen, substituted carbon and sulfur and the linker is attached at one end to A and at the other end to C.

C is a dideoxynucleotide selected from the group consisting of:



and wherein the linker is covalently bonded to the dideoxynucleotide at position 7 for the purines (ddG, ddA) and at position 5 for the pyrimidines (ddT, ddC) and wherein r is a mono or tri-phosphate.

The term "sulfonic acid or sulfonate groups" refer to SO<sub>3</sub>H groups or salts thereof.

The term "ester" refers to a chemical moiety with formula -(R)<sub>n</sub>-COOR', where R and R' are independently selected from the group consisting of saturated or

unsaturated alkyl and five-membered or six-membered aryl or heteroaryl moieties and where n is 0 or 1.

The term "amide" refers to a chemical substituent of formula -NHCOR, where R is selected from the group consisting of hydrogen, alkyl, hydroxyl, and five-membered or six-membered aryl or heteroaryl ring moieties, where the ring is optionally substituted with one or more substituents independently selected from the group consisting of alkyl, halogen, trihalomethyl, carboxylate, nitro, or ester.

The term "ether" refers to a chemical moiety with formula R-O-R' where R and R' are independently selected from the group consisting of saturated or unsaturated alkyl and five-membered or six-membered aryl or heteroaryl moieties and where n is 0 or 1.

The term "alkyl" refers to a straight-chain or branched aliphatic hydrocarbon. The alkyl group is preferably 1 to 10 carbons, more preferably a lower alkyl of from 1 to 7 carbons, and most preferably 1 to 4 carbons. Typical alkyl groups include methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tertiary butyl, pentyl, hexyl and the like. The alkyl group may be substituted and some typical alkyl substituents include hydroxyl, cyano, alkoxy, oxygen, sulfur, nitroxy, halogen, -N(CH<sub>3</sub>)<sub>2</sub>, amino, and -SH.

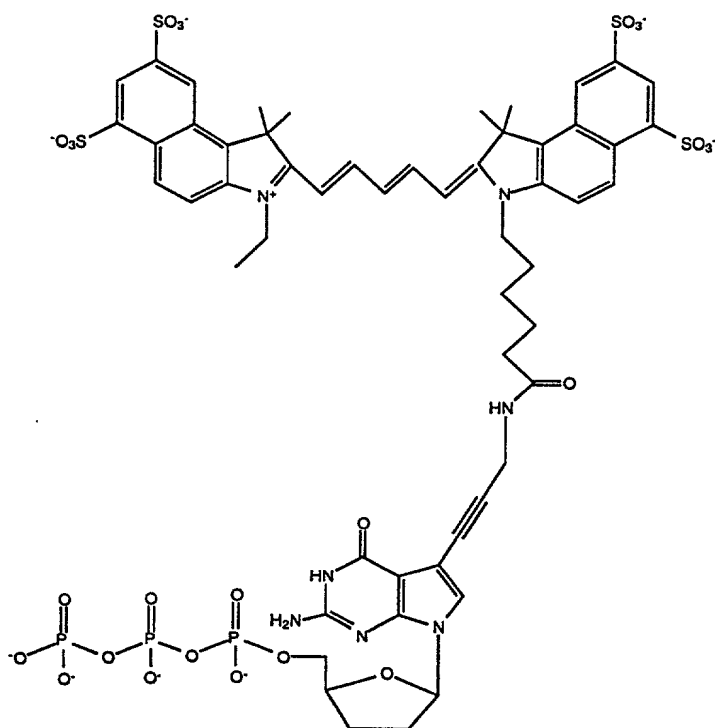
The term "aryl" refers to an aromatic group which has at least one ring having a conjugated pi electron system and includes both carbocyclic aryl (e.g. phenyl) and heterocyclic aryl groups (e.g. pyridine). The term "carbocyclic" refers to a compound which contains one or

more covalently closed ring structures, and that the atoms forming the backbone of the ring are all carbon atoms. The term thus distinguishes carbocyclic from heterocyclic rings in which the ring backbone contains at least one atom which is different from carbon. The term "heteroaryl" refers to an aryl group which contains at least one heterocyclic ring.

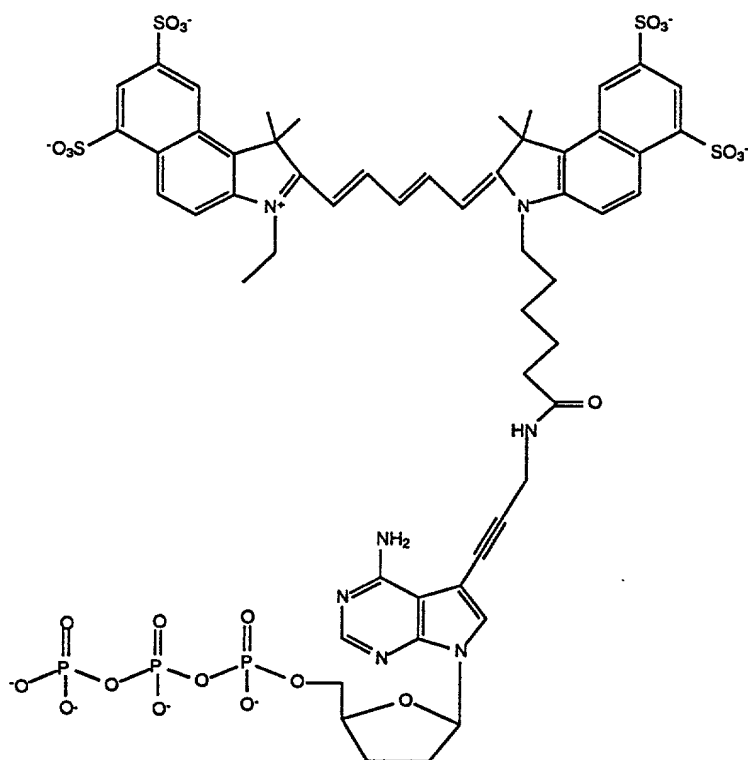
In a preferred embodiment the linker is selected from the group consisting of:

- 10 -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>9</sub>-NH-SO<sub>2</sub>- ,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>- ,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>- , and
- 15 -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-

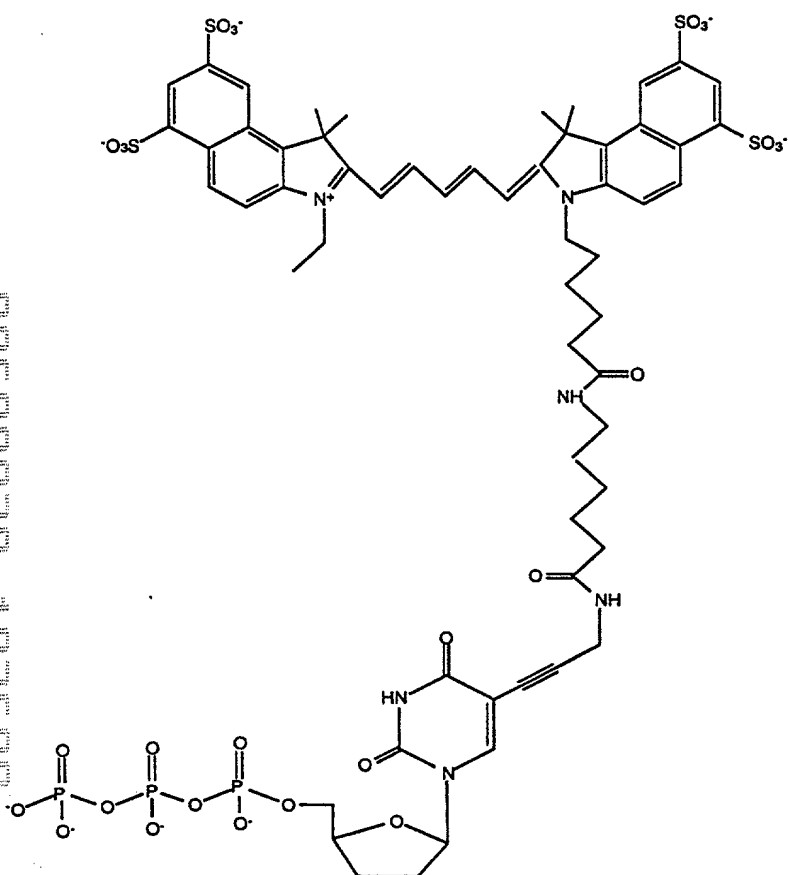
In preferred embodiments the dideoxy dye terminators are; a compound of the formula (II):



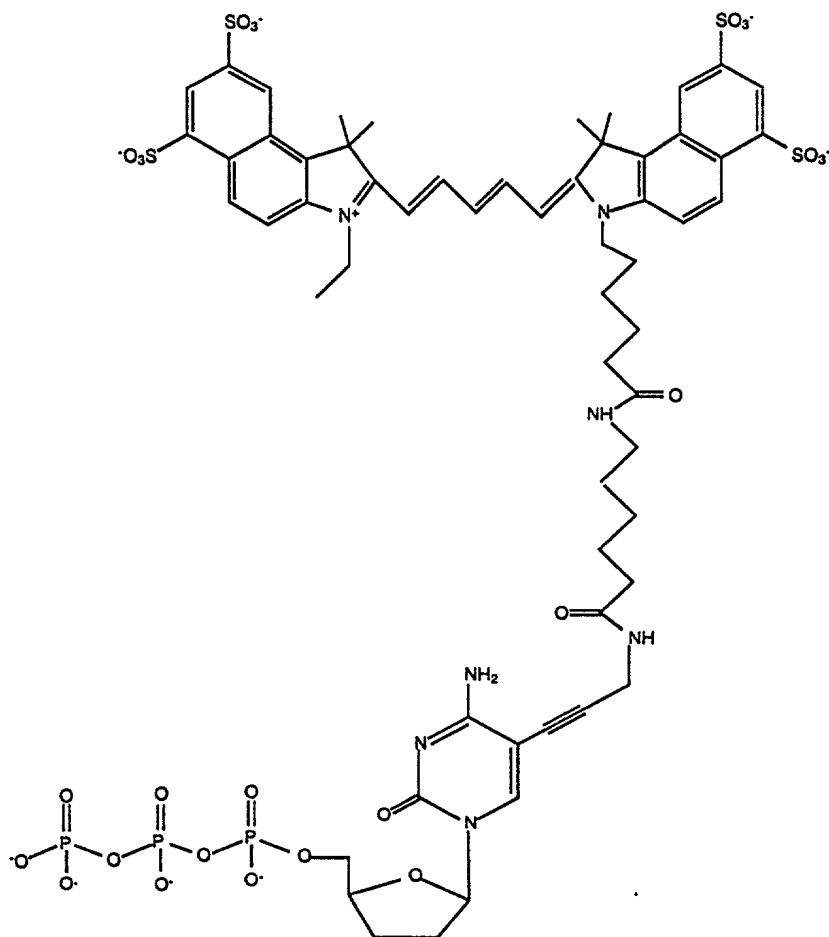
; a compound of the formula (III):



; a compound of the formula (IV):



;compound of the formula (V):



The Cy-5.5 ddGTP and ddCTP compounds have a linker  
5 of 10 atoms in length. The Cy-5.5 ddCTP and ddTTP  
compounds have a linker of 17 atoms in length.

In a third aspect the invention features a  
deoxyribonucleic acid sequence containing the compound  
of formula I, II, III, IV or V.

10 In a preferred embodiment the invention features a  
kit for DNA sequencing comprising compounds of formula  
II, III, IV, and V.

In a further preferred embodiments the kit further has a thermostable DNA polymerase; the thermostable DNA polymerase has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

Applicant has surprisingly found that the one parameter that most strongly correlates with band uniformity is the length of the linker between the dye and the ddNTP. Applicant has found that by extending the linker length between the dye and the nucleotide for any dye:ddNTP combination to at least 10 atoms, that band uniformity is substantially improved and there are no dye-induced compression artifacts.

Thus, in a fourth aspect, the invention features a method for determining the nucleotide base sequence of a DNA molecule consisting of the steps of incubating a DNA molecule annealed with a primer molecule able to hybridize to the DNA molecule in a vessel containing a thermostable DNA polymerase, a dye terminator with a linker of at least 10 atoms between the dye and the nucleotide and separating DNA products of the incubating reaction according to size whereby at least a part of the nucleotide base sequence of the DNA molecule can be determined.

In preferred embodiments, the dye terminator is a compound of formula I, II, III, IV or V; the thermostable DNA polymerase has an altered dNMP binding site.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments thereof, and from the claims.

All articles, publications and patents cited in this application are hereby incorporated by reference, in their entirety.

#### BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 presents DNA sequence data generated using M13mp18 containing a 115 bp SauAI fragment from lambda inserted at the BamHI site and Cy5.5 ddGTP, ddATP, ddTTP, and ddCTP dye terminators.

Fig. 2 is a graph of band intensity variability (rms) vs linker length (atoms).

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The following Examples are provided for further illustrating various aspects and embodiments of the present invention and are in no way intended to be limiting of the scope.

Example 1: Synthesis of dideoxy dye terminators

Cy 5.5 dideoxynucleoside triphosphates

Dye terminators labeled with Cy5.5 were prepared from propargylaminodideoxynucleotids (Prober, J.M., Trainor, G.L., Dam, R.J., Hobbs, F.W., Robertson, C.W., Zagursky, R.J., Cocuzza, A.J., Jensen, M.A. and Baumeister, K., *Science* 238:336-41 (1987); U.S. Patent Nos. 5,242,796, 5,306,618, and 5,332,666) and "CyDye Fluorolink Cy5.5 mono reactive dye" product PA25501

(Amersham Life Science) to produce compounds II, III, IV, and V. In the case of ddG and ddA, the propargylaminonucleotide was directly reacted with the N-hydroxysuccinimidyl ester of the Cy5.5 dye. In the case of ddC and ddT, a longer linker was constructed by reacting the propargylaminonucleotide with the N-hydroxysuccinimidyl ester of N-trifluoroacetyl-6-aminocaproic acid followed by hydrolysis in aqueous ammonia of the trifluoroacetyl group. The resulting compound was then reacted with the N-hydroxysuccinimidyl ester of the Cy5.5 dye to give the 17-atom linker between the Cy 5.5 dye and the pyrimidine base.

In addition to Cy 5.5 dyes, those who practice the art would know how to identify and utilize other dyes, including other cyanine dyes, with the appropriate optical properties. Also, the construction and attachment of various linkers is well known in the art. Suitable reagents for linker construction include one or more compounds consisting of activated forms of amino-protected alkyl or aryl amino acids such as compounds of the formula  $R-NH-(CH_2)_n-CO_2R'$  or  $R-NH-(CH_2)_nX(CH_2)_m-CO_2R'$ , where R is an acid- or base-labile protecting group, R' is a reactive ester or anhydride group, X is aryl, O, S, or NH, and where n and m are 0-12. Other linkers constructed by N- or O- or S- alkylation are also suitable. The exact linker length, of at least 10 atoms, for a specific dye and dideoxynucleotide combination can be determined empirically by monitoring band uniformity in DNA sequencing as described (see Example 3).

Example 2: Dye terminator cycle sequencing

DNA cycle sequencing was carried out using Thermo Sequenase™ DNA polymerase (Amersham, Cleveland, OH) and Cy5.5 dideoxy dye terminators using the following cycle  
5 sequencing protocol:

1. A master mix was prepared consisting of the following:

	Template DNA	5.0 $\mu$ l
	10X Reaction buffer (see below)	3.5 $\mu$ l
10	Primer, 2 $\mu$ M	1.0 $\mu$ l
	Polymerase (see below)	2 $\mu$
	H <sub>2</sub> O	15.5 $\mu$ l
	Total volume	27.0 $\mu$ l

15 10X Reaction Buffer:  
150 mM Tris HCL pH 9.5  
35mM MgCl<sub>2</sub>

Polymerase: Thermo Sequenase™ DNA polymerase,  
10U/ $\mu$ l, 0.0017U/ $\mu$ l, *Thermoplasma acidophilum* inorganic  
pyrophosphatase: 20mM Tris-HCl, pH 8.5, 1mM DTT, 0.1mM  
20 EDTA, 0.5% Tween-20, 0.5% Nonidet P-40 and 50% glycerol.

2. Four microcentrifuge tubes were labeled and 2  $\mu$ l of Cy5.5 labeled ddG, ddA, ddT, ddC solution was added to each tube.

25:1 ddG Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP,

5 12 $\mu$ M Cy5.5 ddGTP

25:1 ddA Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP,

12 $\mu$ M Cy5.5 ddATP

25:1 ddT Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP,

12 $\mu$ M Cy5.5 ddTTP

10 25:1 ddC Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP,

12 $\mu$ M Cy5.5 ddCTP

3. Six  $\mu$ l of the master mix (from step 1) was aliquoted to each of the 4 tubes from step 2 above.

Cycling was carried out as follows: 95°C (30 sec), 45-  
15 55°C (30 sec) and 72°C (60 sec) for 35 cycles then incubate at 72°C 5-7 minutes.

4. One  $\mu$ l of 8M ammonium acetate was added to each tube. Then 27  $\mu$ l (approximately 3 times the reaction volume) of chilled 100% ethanol was added. Then mixture  
20 was mixed and placed on ice for 20 minutes to precipitate the DNA.

5. The mixture was centrifuged in a microcentrifuge (~12,000rpm) for 20-30 minutes at either room temperature or 4°C. The supernatant was removed and  
25 then 200  $\mu$ l of 70% ethanol was added to wash the DNA pellet.

6. The mixture was again centrifuged for 5 minutes, the supernatant removed and the pellet dried (in a vacuum centrifuge) for 2-3 minutes.
7. Each pellet was resuspended in 6  $\mu$ l of formamide loading dye (Amersham, Cleveland, OH), vortexed vigorously (10-20 sec) to ensure that all DNA was dissolved. The mixture was briefly centrifuged to collect the sample at the bottom of the tube.
8. Samples were heated to 70°C for 2-3 minutes to denature the DNA, then placed on ice.
9. Then 1.5-2  $\mu$ l of the volume was loaded onto a lane of the sequencing gel, and the gel run on the MICRO Gene Blaster instrument (VGI).

For this sequence, the template DNA was M13mp18 containing a 115 bp Sau3AI fragment from bacteriophage lambda inserted at the BamHI site (product number US 70171 Amersham). The primer is the -40 Forward 23-mer universal primer (5'-GTTTTCCAGTCACGACGTTGTA-3') (SEQ. ID. NO. 1). Results are shown in Figure 1.

20 Example 3: Correlation of linker length and band intensity variability

Sequencing reactions were carried out as described in example 2 with various dye molecules linked to dideoxynucleotides with linkers of various lengths (see Table 1). The labeled DNA products were then separated

on denaturing polyacrylamide gels and the labeled products were detected by fluorescence. The intensity of the bands is taken as the height of the peaks in a graph of fluorescence (in arbitrary units) against time.

Typically, systematic variations in peak heights can be seen in graphs of peak heights plotted sequentially. These systematic variations in the peak heights can be modeled by least-square fitting to a second-order polynomial function. Dividing the peak height for each band by the value of the curve-fit polynomial function yields a normalized band intensity for each peak. Variation in these band intensities can be expressed as

the square root of the variance  $\sqrt{(n\sum x^2 - (\sum x)^2/n^2)}$  of the

normalized peak heights, which can typically have values between 0 and 1 with more variability represented by higher numbers (Fuller, C.W., Comments 16(3):1-8, 1989). This value is numerically equal to root-mean-square (RMS) value when 1.0 is subtracted from the normalized peak heights. These values are reported in Table 1 and graphed in Fig. 2. Variability of band intensities is significantly reduced when linkers of 10 or more atoms in length were used, resulting in sequence data that was easier to interpret accurately.

Table 1

	Base	Dye <sup>a</sup>	Linker Length <sup>b</sup>	Band Uniformity (rms)
1	T	Coumarin	5 <sup>c</sup>	0.32
2	G	Lissamine	5 <sup>d</sup>	0.77

5	3	G	R110	5 <sup>c</sup>	0.34
	4	A	R6G	5 <sup>c</sup>	0.32
	5	G	R6G	5 <sup>c</sup>	0.57
	6	C	ROX	5 <sup>c</sup>	0.36
	7	T	TMR	5 <sup>c</sup>	0.47
10	8	A	TxR	5 <sup>d</sup>	0.61
	9	C	Eosin	6 <sup>e</sup>	0.40
	10	G	Cy3	10 <sup>i</sup>	0.24
	11	A	Cy5	10 <sup>i</sup>	0.15
	12	G	Cy5	10 <sup>i</sup>	0.21
15	13	A	Cy5.5	10 <sup>i</sup>	0.21
	14	G	Cy5.5	10 <sup>i</sup>	0.20
	15	A	F1	12 <sup>f</sup>	0.16
	16	C	F1	12 <sup>f</sup>	0.20
	17	G	F1	12 <sup>f</sup>	0.17
20	18	T	F1	12 <sup>f</sup>	0.18
	19	A	R6G	12 <sup>f</sup>	0.13
	20	T	R6G	12 <sup>f</sup>	0.25
	21	A	ROX	12 <sup>f</sup>	0.21
	22	T	ROX	12 <sup>f</sup>	0.16
25	23	C	TMR	12 <sup>f</sup>	0.26
	24	G	TMR	12 <sup>f</sup>	0.29
	25	T	TMR	12 <sup>f</sup>	0.37
	26	A	TxR	16 <sup>g</sup>	0.32
	27	C	TxR	16 <sup>g</sup>	0.24
30	28	G	TxR	16 <sup>g</sup>	0.22
	29	U	TxR	16 <sup>g</sup>	0.24
	30	A	Cy3-Cy5	17 <sup>j</sup>	0.11
	31	C	Cy3-Cy5	17 <sup>j</sup>	0.16
	32	G	Cy3-Cy5	17 <sup>j</sup>	0.22

5	33	T	Cy3-Cy5	17 <sup>d</sup>	0.11
	34	C	Cy5	17 <sup>d</sup>	0.14
	35	T	Cy5	17 <sup>d</sup>	0.10
	36	C	Cy5.5	17 <sup>d</sup>	0.20
	37	T	Cy5.5	17 <sup>d</sup>	0.18
10	38	A	Fl	17 <sup>h</sup>	0.16
	39	C	Fl	17 <sup>h</sup>	0.24
	40	G	Fl	17 <sup>h</sup>	0.18
	41	T	Fl	17 <sup>h</sup>	0.25
	42	T	Fl	24 <sup>k</sup>	0.24

<sup>a</sup> Abbreviations for dyes: Fl, Carboxyfluorescein; R110, Rhodamine 110; R6G, Rhodamine 6G; ROX, Rhodamine X; TMR, tetramethylrhodamine; TXR, Texas Red (Molecular Probes). The dyes Cy3, Cy3.5, Cy5 and Cy5.5 were from Amersham Life Science, Cleveland, OH.

15 <sup>b</sup> Linker length is the number of atoms between the ring structure of the nucleoside base (A, C, G or T) and the ring structure of the dye.

#### Linker structures

- <sup>c</sup> -C≡C-CH<sub>2</sub>-NH-CO-  
 20 <sup>d</sup> -C≡C-CH<sub>2</sub>-NH-SO<sub>2</sub>-  
<sup>e</sup> -C≡C-CH<sub>2</sub>-NH-CS-NH-  
<sup>f</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-  
<sup>g</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>9</sub>-NH-SO<sub>2</sub>-  
<sup>h</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-  
 25 <sup>i</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-  
<sup>j</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-  
<sup>k</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (I) APPLICANT: Kumar, Shiv  
Nampalli, Stayam  
McArdle, Bernard F.  
Fuller, Carl W.
- (ii) TITLE OF INVENTION: DIDEOXY DYE TERMINATORS
- (iii) NUMBER OF SEQUENCES: 1
- (iv) CORRESPONDENCE ADDRESS:
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(D) STATE: California  
(E) COUNTRY: U.S.A.  
(F) ZIP: 90071-2066
- (v) COMPUTER READABLE FORM:
- (A) MEDIUM TYPE: 3.5" Diskette, 1.44 Mb  
storage  
(B) COMPUTER: IBM Compatible  
(C) OPERATING SYSTEM: IBM P.C. DOS 5.0  
(D) SOFTWARE: FastSEQ for Windows 2.0
- (vi) CURRENT APPLICATION DATA:
- (A) APPLICATION NUMBER: To Be Assigned  
(B) FILING DATE: Herewith  
(C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
- (A) APPLICATION NUMBER:  
(B) FILING DATE:
- (viii) ATTORNEY/AGENT INFORMATION:
- (A) NAME: Warburg, Richard J.  
(B) REGISTRATION NUMBER: 32,327  
(C) REFERENCE/DOCKET NUMBER: 225/219

## (ix) TELECOMMUNICATION INFORMATION:

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(B) TELEFAX:	(213) 955-0440
(C) TELEX:	67-3510

## (2) INFORMATION FOR SEQ ID NO: 1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH:	23 base pairs
(B) TYPE:	nucleic acid
(C) STRANDEDNESS:	single
(D) TOPOLOGY:	linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

GTTTCCAG TCACGACGTT GTA

23

Other embodiments are within the following claims.

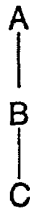
CLAIMS

1. A kit for DNA sequencing comprising:

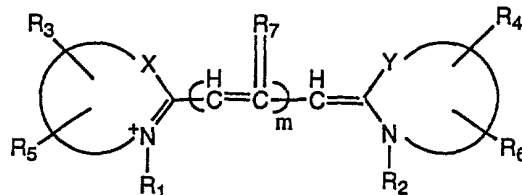
a first, second, third and fourth dye terminator molecule, each of the dye terminator molecules comprising  
 5 a dye molecule, a linker of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or tri-phosphate and a thermostable DNA polymerase.

2. The kit of claim 1, wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP  
 10 binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

3. A compound of formula (I):



15 wherein A is a cyanine dye of the structure

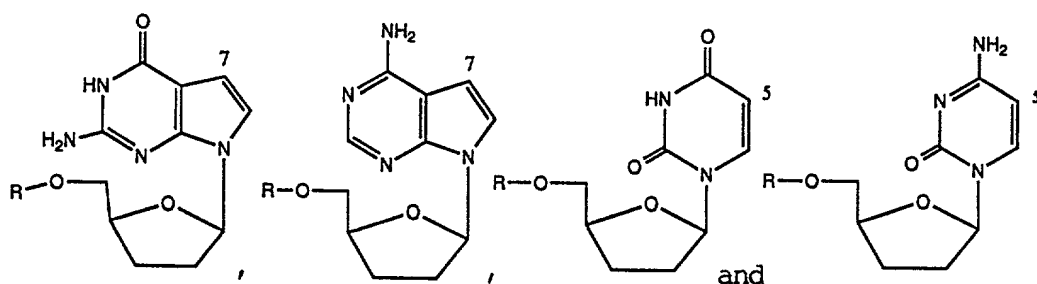


and the curved lines represent carbon atoms necessary for the formulation of cyanine dyes, X and Y are selected from the group consisting of O, S, and

CH<sub>3</sub>-C-CH<sub>3</sub>, m is an integer selected from the group consisting of 1, 2, 3, and 4, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub> and R<sub>7</sub> are independently selected from the group consisting of H, OH, CO<sub>2</sub>H, sulfonic acid or sulfonate groups, esters, amides, ethers, alkyl or aryl groups and B, and one R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub> or R<sub>7</sub> is B ;

B is a linker of at least 10 atoms in length wherein the atoms are selected from the group consisting of carbon, nitrogen, oxygen, substituted carbon, and sulfur and the linker is attached at one end to A and at the other end to C; and

C is a dideoxynucleotide selected from the group consisting of

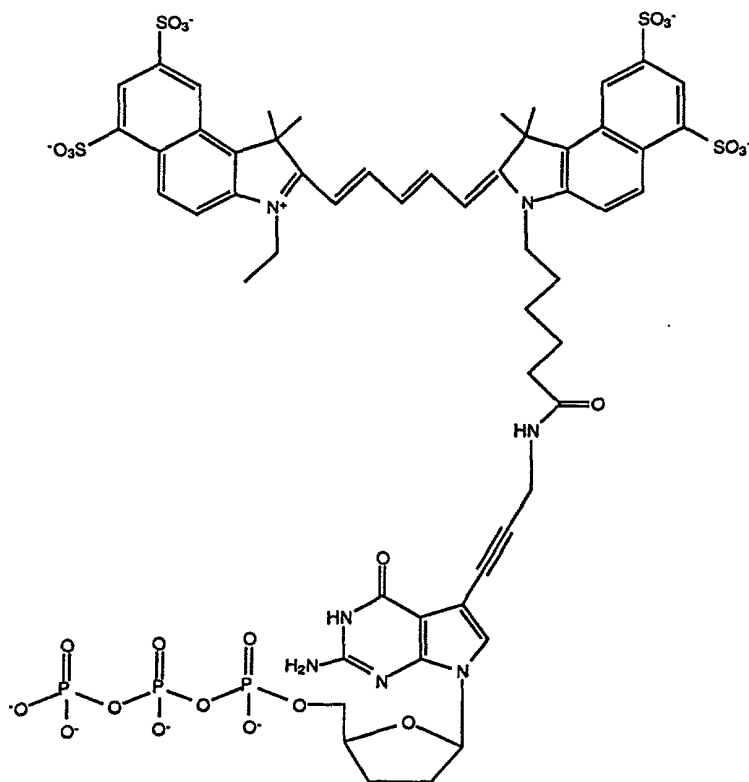


wherein said linker is covalently bonded to said dideoxynucleotide at position 7 for ddA and ddG and at position 5 for ddC and ddT and wherein r is a mono or tri-phosphate.

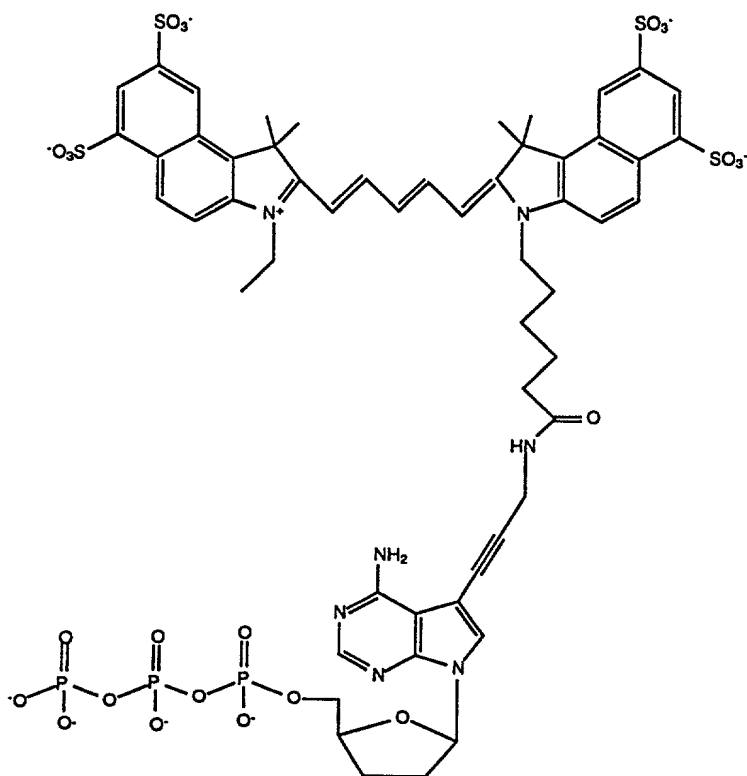
4. The compound of claims 3, wherein said linker is selected from the group consisting of

- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>9</sub>-NH-SO<sub>2</sub>-,
- 5 -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-,
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-, and
- C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-.

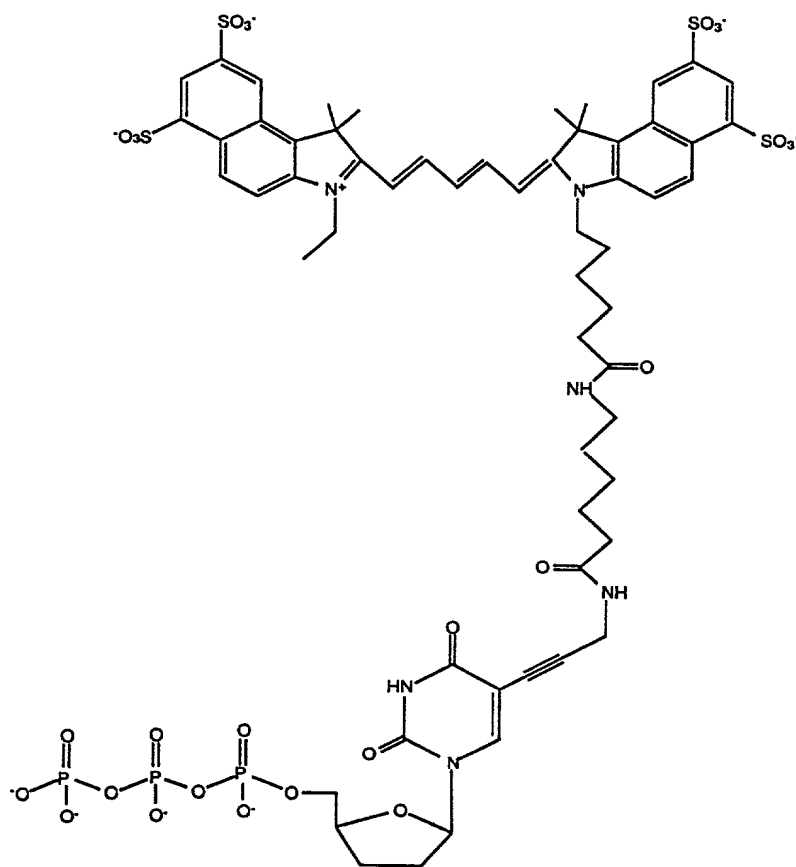
5. A compound of the formula (II):



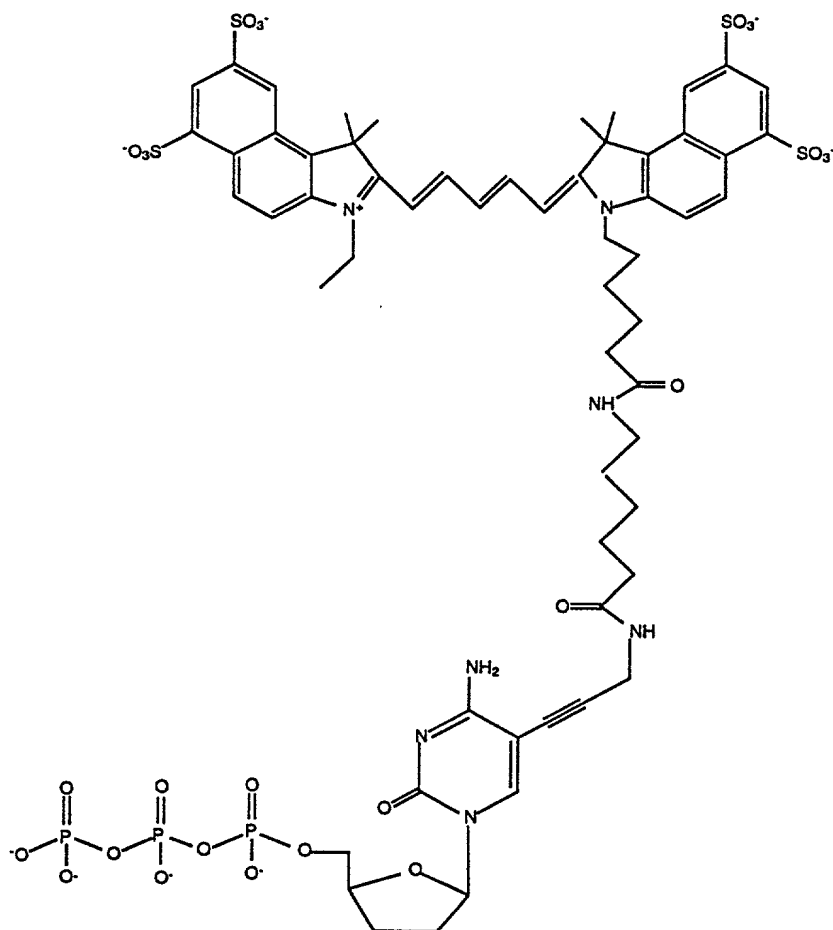
6. A compound of the formula (III):



7. A compound of the formula (IV):



8. A compound of the formula (V):



9. A deoxyribonucleic acid sequence containing the  
5 compound of formula I.

10. A deoxyribonucleic acid sequence containing the  
compound of formula II, III, IV, or V.

11. A kit for DNA sequencing comprising compounds of  
10 formula II, III, IV, and V.

12. The kit of claim 11, further comprising a thermostable DNA polymerase.

13. The kit of claim 12, wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP  
5 binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

14. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

incubating a DNA molecule annealed with a primer  
10 molecule able to hybridize to said DNA molecule in a vessel containing a thermostable DNA polymerase, one of a set of four dye terminators with an linker of at least 10 atoms between the dye and the nucleotide and  
separating DNA products of the incubating  
15 reaction according to size whereby at least a part of the nucleotide base sequence of said DNA molecule can be determined.

15. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

incubating a DNA molecule annealed with a primer  
20 molecule able to hybridize to said DNA molecule in a vessel containing a thermostable DNA polymerase, a compound of formula I and  
separating DNA products of the incubating  
25 reaction according to size whereby at least a part of the nucleotide base sequence of said DNA molecule can be determined.

16. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

incubating a DNA molecule annealed with a primer molecule able to hybridize to said DNA molecule in a vessel containing a thermostable DNA, a compound of formula II, III, IV, or V and

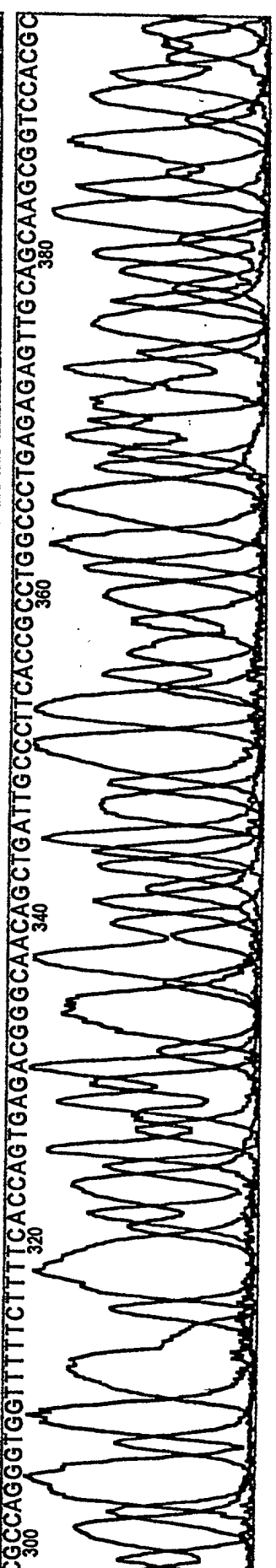
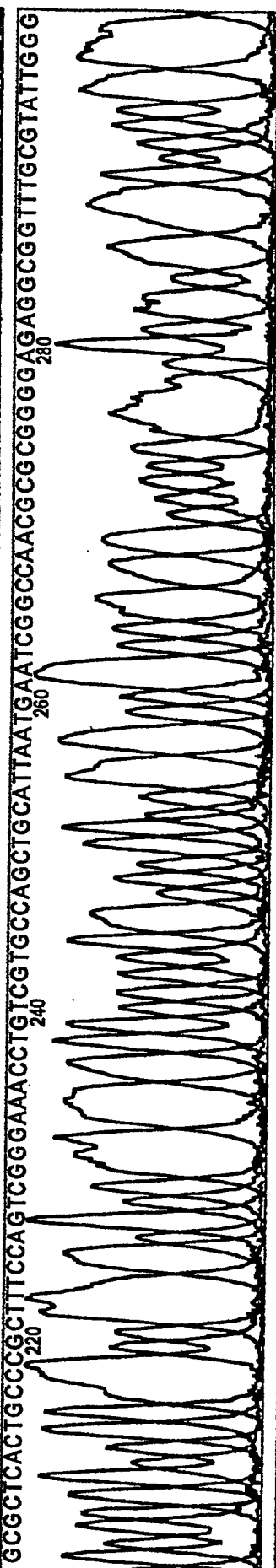
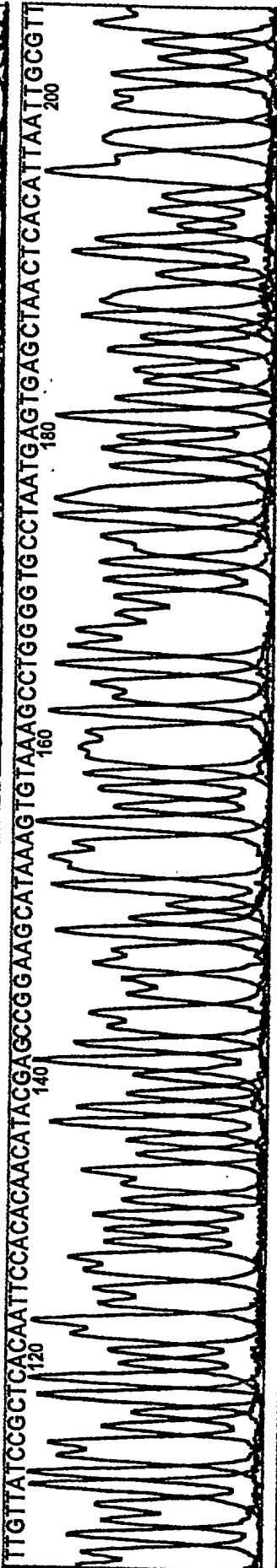
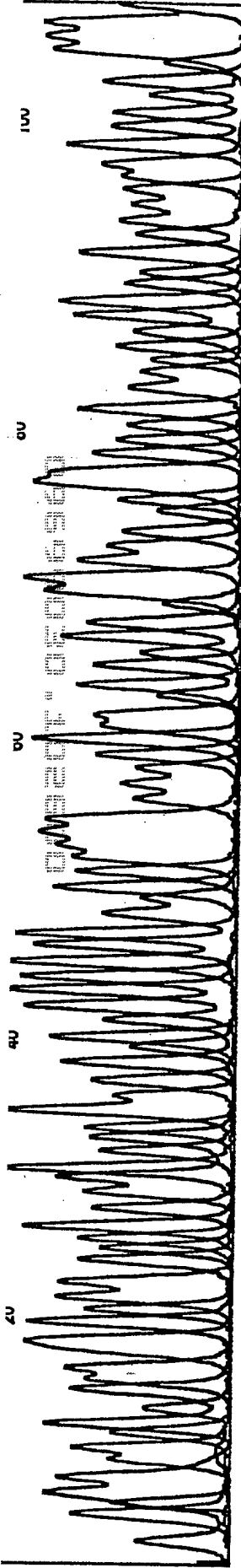
separating DNA products of the incubating reaction according to size whereby at least a part of the nucleotide base sequence of said DNA molecule can be determined.

17. The method of any of claims 14, 15, or 16 wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

## ABSTRACT

A kit for DNA sequencing comprising a first, second, third and fourth dye terminator molecules, each of the dye terminator molecules comprising a dye molecule, a linker  
5 of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or tri-phosphate and a thermostable DNA polymerase.

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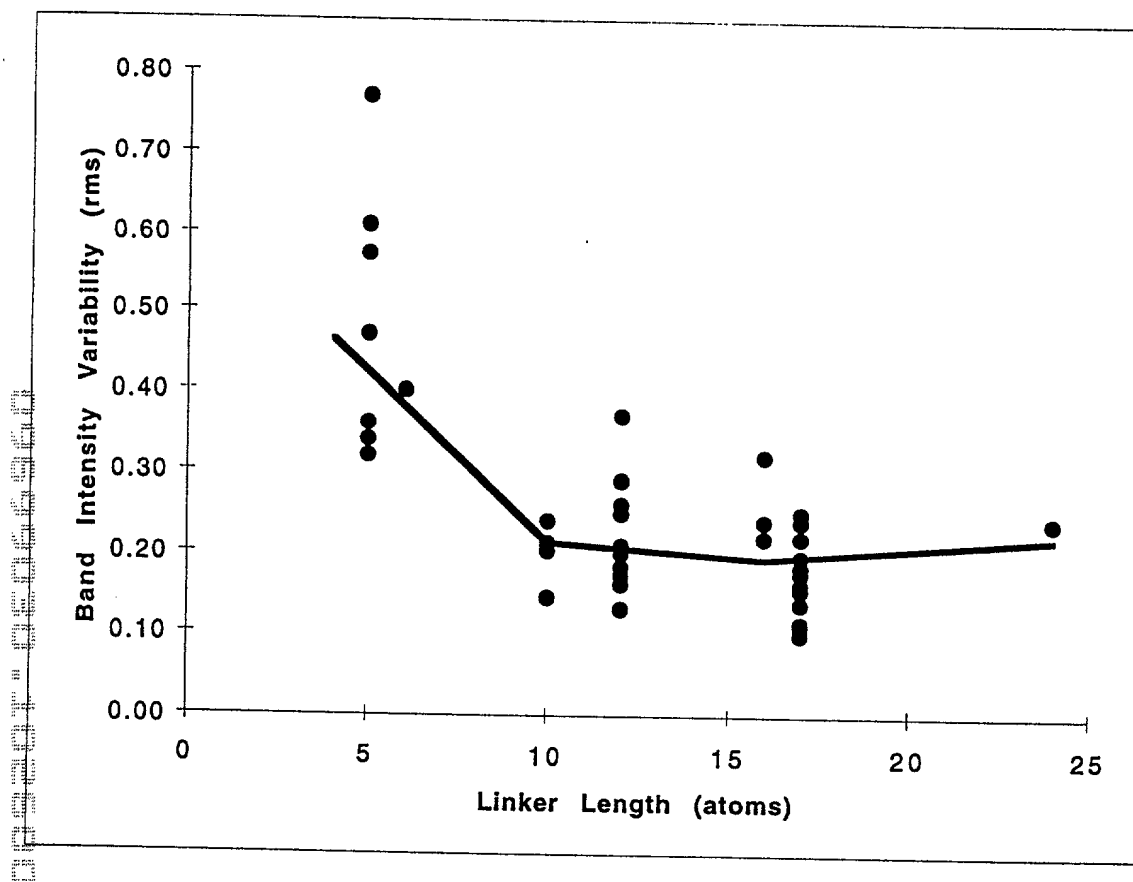


FIGURE 2

# COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DIDEOXY DYE TERMINATORS the specification of which

\_\_\_\_\_ is attached hereto.

X was filed on February 4, 1998 as Application Serial No. 09/018,695 and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability and/or examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Application(s):

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
-------------------------------	------------------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Richard J. Warburg, Esq., Registration No. 32,327

Kindly recognize as associate attorney:

Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr. Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; William E. Thomson, Jr., Reg. No. 29,719; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffin, Reg. No. 26,811; Coe A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,035; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755; Jerrold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. Ohriner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Bradford J. Duft, Reg. No. 32,219; Suzanne L. Biggs, Reg. No. 30,158; F.T. Alexandra Mahaney, Reg. No. 37,668; Sheldon O. Heber, Reg. No. 38,179; Jeffrey W. Guise, Reg. No. 34,613; Charles S. Berkman, Reg. No. 38,077; Anthony C. Chen, Reg. No. 38,673; and Wesley B. Ames, Reg. No. 40,893 of LYON & LYON, 633 West Fifth Street, Suite 4700, Los Angeles, California 90071-2066

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Address all correspondence to Richard J. Warburg, Esq., LYON & LYON LLP, 633 West Fifth Street, Suite 4700, Los Angeles CA 90071.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

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Inventor's signature Shiv Kumar Date: 4/15/98

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Citizenship Indian

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Full name of second inventor Satyam Nampalli

Inventor's signature Satyam Nampalli Date: April 15/98

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Full name of third inventor Bernard F. McArdle

Inventor's signature *J. Samuel F. McQuibb* Date: *April 17, 1978*

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Full name of fourth inventor Carl W. Fuller

Inventor's signature Chen D. Chen Date: 4. 1 1999

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Citizenship U.S.A.

Post Office Address 3397 E. Monmouth Road, Cleveland Heights, Ohio 44118

Variable	Mean	SD	Min	Max
Age	34.5	10.2	22	55
Gender	Male	100%		
Marital Status	Married	100%		
Education	High School	100%		
Occupation	Teacher	100%		
Income	\$15,000	\$5,000	\$10,000	\$20,000
Health Status	Good	100%		
Stress Level	Low	100%		
Life Satisfaction	High	100%		
Family Size	2	1	1	3
Religious Belief	Christian	100%		
Political Affiliation	Democrat	100%		
Travel Frequency	Monthly	100%		
Exercise Routine	Regular	100%		
Dietary Habits	Healthy	100%		
Substance Use	None	100%		
Work-Life Balance	Good	100%		
Community Involvement	Active	100%		
Personal Growth	High	100%		
Relationship Quality	Excellent	100%		
Financial Stability	Stable	100%		
Emotional Well-being	Positive	100%		
Physical Health	Excellent	100%		
Mental Health	Good	100%		
Social Support	Strong	100%		
Life Goals	Clear	100%		
Personal Values	Aligned	100%		
Work Environment	Supportive	100%		
Leadership Skills	Developed	100%		
Team Collaboration	Excellent	100%		
Communication Skills	Strong	100%		
Problem Solving	Effective	100%		
Adaptability	High	100%		
Resilience	Strong	100%		
Emotional Regulation	Good	100%		
Stress Management	Effective	100%		
Time Management	Excellent	100%		
Organization Skills	High	100%		
Attention to Detail	Strong	100%		
Decision Making	Sound	100%		
Conflict Resolution	Effective	100%		
Interpersonal Skills	Excellent	100%		
Empathy	High	100%		
Active Listening	Strong	100%		
Public Speaking	Good	100%		
Writing Skills	Excellent	100%		
Research Skills	Strong	100%		
Critical Thinking	High	100%		
Creativity	Developed	100%		
Innovation	Strong	100%		
Leadership Potential	High	100%		
Team Building	Excellent	100%		
Project Management	Strong	100%		
Time Management	Excellent	100%		
Organization Skills	High	100%		
Attention to Detail	Strong	100%		
Decision Making	Sound	100%		
Conflict Resolution	Effective	100%		
Interpersonal Skills	Excellent	100%		
Empathy	High	100%		
Active Listening	Strong	100%		
Public Speaking	Good	100%		
Writing Skills	Excellent	100%		
Research Skills	Strong	100%		
Critical Thinking	High	100%		
Creativity	Developed	100%		
Innovation	Strong	100%		
Leadership Potential	High	100%		
Team Building	Excellent	100%		
Project Management	Strong	100%		